" Serial No.

Docket No. 132913-1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Larry Neil Lewis et al. Group Art Unit:

Serial No.: Examiner:

Filed:

For ELECTRO-ACTIVE DEVICE HAVING

**METAL-CONTAING LAYER** 

## INFORMATION DISCLOSURE STATEMENT

Pursuant to 37CFR 1.97(b)
[within 3 months of national, non-CPA filling or prior to 1st Office Action] no charge

[before Final Office Action, Allowance, or other action closing prosecution] no charge

3 Fee Payment Pursuant to 37 CFR 1.97(c)(2)
[before Final Office Action, Allowance, or other action closing prosecution] \$180.00

4 Certification & Fee Payment Pursuant to 37 CFR 1.97(d)

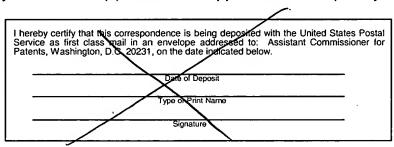
[On or before issue fee payment] \$180.00

ATTN: BOX PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

S A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.



This paper is su	ubmitted	in accordance with:	
$\boxtimes$		37 CFR 1.97(b): [within 3 months of national, non-CPA filing or Action]	
	7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and		
	□ 8	The required Certificatio	n made in item 11 below;
	<u> </u>		ed in 37 CFR 1.17(p) for submission of ire Statement is authorized in item 15 below.
10 37 CFR 1.97(d): [on or before issue fee payment]; and			
	a)	The required Certification	n is stated in item 11 below; and
	b)		ed in 37 CFR 1.17(p) for submission of tree Statement is authorized in item 14 below.
11 Certification			
	<u> </u>	any communication from	n contained in this Statement was first cited in om a foreign patent office in a counterpart on not more than three months prior to the or
	□ 13	from a foreign patent of to the knowledge of the reasonable inquiry, no Statement was known	is Statement was cited in a communication fice in a counterpart foreign application and, person signing this document after making item of information contained in this to any individual designated in 37 CFR (3) months prior to the filing date of this
14 Payment of all applicable fees:			
15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868			
An original and	two (2) o	copies of this document are	e enclosed.
			Respectfully submitted,
October 17, 2003			floout Amande
	Da		Attorney - Robert P. Santandrea Registration No. 45,072
Gen ral Electric Company CRD Patent Docket Rm 4A59 P.O. Box 8, Bldg. K-1 Schenectady, New York 12301 Customer Number: 006147			Phone: (518) 387-6304 or (518) 387-7122

FORM PTO-1449 US. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE (REV. 7-80) 132913-1 Applicant: Larry Neil Lewis et al. INFORMATION DISCLOSURE STATEMENT BY APPLICANT LIST OF ITEMS Filing Date Group **U.S. PATENT DOCUMENTS** FILING DATE EXAMINER **CLASS SUBCLASS** IF APPROPRIATE INITIAL DATE NAME DOCUMENT NUMBER 4,681,963 7/21/87 Lewis A2 4,705,765 11/10/87 Lewis 6,515,314 2/4/03 Duggal et al. A3 12/9/97 A4 5,696,384 Ogi et al. **A5** 5,789,027 8/4/98 Watkins et al. A6 5,783,716 7/21/98 Baum et al. 6,204,178 3/20/01 Marsh A7 6,387,802 5/14/02 Marsh **A8** FOREIGN PATENT DOCUMENTS DOCUMENT **TRANSLATION CLASS SUBCLASS** NUMBER DATE COUNTRY YES BI **B2** В3 OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.) CI NH Dryden et al., "Chemical Vapor Deposition of Platinum: New Precursors and Their Properties", American Chemical Society, Chem. Material, Volume 3, pp 677-685, 1991. C2 J-C Hierso et al., "Platinum and Palladium Films Obtained by Low-Temperature MOCVD for the Formation of Small Particles on Divided Supports as Catalytic Materials", Chem. Material, Volume 12, pp. 390-399, 2000. C3 Z. Xue et al., Characterization of (Methylcyclopentadienyl)trimethylplatinum and Low-Temperature Organometallic Chemical Vapor Deposition of Platinum Metal", J. Am. Chem. Soc, Volume 111, pp. 8779-8784, 1989. LN Lewis et al., "Mechanism of Formation of Platinum (O) Complexes Containing Silicon - Vinyl Ligands", C4 Organometallics, Volume 14, pp. 2202-2213, 1995. C5 R. Kumar et al, "New Precursors for Chemical Vapour Deposition of Platinum and the Hydrogen Effect on CVD", Polyhedron, Volume 8, No. 4, pp. 551-553, 1989. HD Kaesz et al., Low-Temperature Organometallic Chemical Vapor Deposition of Transition Metals", Mat. **C6** Res. Soc. Symp. Proc., Volume 131, pp. 395-401, 1989. C7 Copending US Application Serial No. 10/316,317 (Docket 125159-1), filed December 12, 2002, by James Lawrence Spivack et al., entitled "STRUCTURED DYE SENSITIZED SOLAR CELL". Copending US Application Serial No. 10/316,318 (Docket 125120-1), filed December 12, 2002, by James C8 Lawrence Spivack et al., entitled "DYE SENSITIZED SOLAR CELLS HAVING FOIL ELECTRODES". C9 Copending US Application Serial No. 10/425,901 (Docket 120198-1-1), filed April 29, 2003, by Anil Raj Duggal et al., entitled "LIGHT SOURCE WITH ORGANIC LAYER AND PHOTOLUMINESCENT LAYER". **DATE CONSIDERED EXAMINER** EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.